

UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 1.53(b))</i>	Attorney Docket No.	38156.(new)
	First Inventor	Paul J. Walsh
	Title	System and Apparatus for Refrigeration, etc.
	Express Mail Label No.	ER 463985700 US

APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents</i>	Mail Stop Patent Application ADDRESS TO: Commissioner for Patents, P.O. Box 1450 Alexandria, Virginia 22313-1450
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original, and a duplicate for fee processing)</i> 2. <input checked="" type="checkbox"/> Applicant claims small entity status. See CFR 1.27. 3. <input checked="" type="checkbox"/> Specification [Total Pages / 29 /] <i>(preferred arrangement set forth below)</i> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R&D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets / 1 /] 5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages / 2 /] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper c. <input type="checkbox"/> Statements verifying identity of above copies
ACCOMPANYING APPLICATION PARTS 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i> 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input checked="" type="checkbox"/> Information Disclosure <input checked="" type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent 17. <input checked="" type="checkbox"/> Other: check: \$385.00	

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of the prior application No: /

Prior application information: Examiner: Group/Art Unit:
 For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.
 The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS					
<input type="checkbox"/> Customer Number:		26712		OR <input type="checkbox"/> Correspondence address below	
NAME		John M. Del Vecchio			
		Hodgson Russ LLP			
ADDRESS		One M&T Plaza, Suite 2000			
CITY	Buffalo	STATE	New York	ZIP CODE	14203-2391
COUNTRY	United States of America	TELEPHONE	(716) 856-4000	FAX	(716) 849-0349
Name (Print/Type)		John M. Del Vecchio		Registration No. (Attorney/Agent)	42,475
Signature		<i>John Del Vecchio</i>		Date	November 21, 2003

"Express Mail" Mailing Label Number ER 463985700 US

Date of Deposit November 21, 2003

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Name *John Del Vecchio*

Signature *John Del Vecchio*

873510

**FEE TRANSMITTAL
for FY 2004**

Effective 01/01/2003. Patent Fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

(\$385

Application Number

Filing Date

November 21, 2003

First Named Inventor

Paul J. Walsh

Examiner Name

Group/Art Unit

Attorney Docket Number

38156.(new)

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None☐ Deposit Account: Deposit Account Number: 08-2442

Deposit Account Name: Hodgson Russ LLP

The Director is hereby authorized to (check all that apply)

☐ Charge fee(s) indicated below☒ Charge any fee deficiencies or credit any overpayments☐ Charge any additional fees during pendency of this application.☐ Charge fees indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity

Small Entity

Fee
CodeFee
(\$)Fee
CodeFee
(\$)

Fee Description

Fee
Paid

1051

130

2051

65

Surcharge - late filing fee or oath

\$

1052

50

2052

25

Surcharge - late provisional filing fee or cover sheet

\$

1053

130

1053

130

Non-English specification

\$

1812

2,520

1812

2,520

For filing a request for *ex parte* reexamination

\$

1804

920*

1804

920*

Requesting Publication of SIR prior to Examiner Action

\$

1805

1,840*

1805

1,840*

Requesting Publication of SIR after Examiner Action

\$

1251

110

2251

55

Extension for reply within first month

\$

1252

420

2252

210

Extension for reply within second month

\$

1253

950

2253

475

Extension for reply within third month

\$

1254

1,480

2254

740

Extension for reply within fourth month

\$

1255

2,010

2255

1,005

Extension for reply within fifth month

\$

SUBTOTAL (1)

\$385

1401

330

2401

165

Notice of Appeal

\$

2. EXTRA CLAIM FEES FOR UTILITY/ REISSUE

Extra Fee from

Claims below

Total Claims // - 20** = // x // =

Independent Claims // - 3** = // x // =

Multiple dependent // x // =

\$

1403

290

2403

145

Request for oral hearing

\$

\$

1451

1,510

1451

1,510

Petition to institute a public use proceeding

\$

\$

1452

110

2452

55

Petition to revive - unavoidable

\$

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$ Code (\$ Fee Description

1453

1,330

2453

665

Petition to revive - unintentional

\$

1501

1,330

2501

665

10 advance copies
Utility issue fee (or reissue)

\$

1502

480

2502

240

Design issue fee

\$

1503

640

2503

320

Plant issue fee

\$

1460

130

1460

130

Petitions to the Commissioner

\$

1807

50

1807

50

Processing fee under 37 CFR 1.17(q)

\$

1806

180

1806

180

Submission of Information Disclosure Statement

\$

8021

40

8021

40

Recording each patent assignment per property (times
number of properties)

\$

SUBTOTAL (2)

\$

SUBMITTED BY:

John M. Del Vecchio

Reg. No. 42,475

1809

770

2809

385

Filing a submission after final rejection(37 CFR 1.129(a))

\$

SIGNATURE

1810

770

2810

385

For each add'l invention to be examined(37 CFR 1.129(b))

\$

DATE: November 21, 2003

Telephone: (716) 848-1644

1801

770

2801

385

Request For Continued Examination (RCE)

\$

1802

900

1802

900

Request for Expedited Examination of a design application

\$

Other fee (specify)

\$

*Reduced by basic filing fee paid

SUBTOTAL (3)

\$

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John M. Del Vecchio

Name

Signature

November 21, 2003

Date of Signature

873496